



---

**UNITED STATES PATENT AND TRADEMARK OFFICE**

---

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

August 30, 2005

OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C.  
1940 DUKE STREET  
ALEXANDRIA, VA 22314  
US

Dear Sir/Madam,

Your refund request for 10521790 in the amount of \$400.00 has been denied .

These funds were refunded to the credit card that they were charged on 6/13/05.

Sincerely,

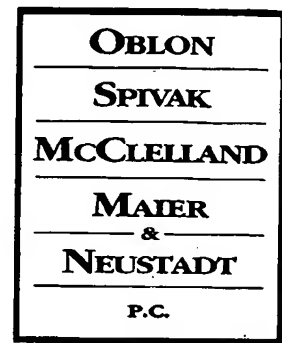
A handwritten signature in cursive script that reads "Rita White". The signature is written in black ink and is positioned to the right of the word "Sincerely,".

RITA WHITE  
PCT - National  
703 308-9140 x231

PATENT AND TRADEMARK  
DIVISION

2005 JUL -1 PM 1:20

June 15, 2005



ATTORNEYS AT LAW

KATHLEEN A. MORSBERGER  
CONTROLLER  
(703) 412-6494  
KMORSBERGER@OBLON.COM

UNITED STATES PATENT AND TRADEMARK OFFICE  
Box 16  
Washington, DC 20231

Attn: Frank Lebron  
Refund Department

Re: Deposit Account #150030

Dear Mr. Lebron:

Enclosed is a copy of a portion of our deposit account statement of June, 2005. See the highlighted charge on serial number 10/521,790 in the amount of \$400.00 on fee code #1642.

When this application was filed on January 21, 2005, a credit card payment form for American Express in the amount of \$1,330.00 was included with that filing. The search fee in the amount of \$500.00 was part of that payment. Therefore, there should not be a charge on our account for additional search fees.

Please review this application and kindly refund \$400.00 to deposit account #150030. Copies of the appropriate paperwork are attached. If you have any questions, please contact Debbie Noel at (703) 412-6296. Thank you for your assistance in this matter.

Sincerely,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

A handwritten signature in cursive script that reads "Debra J. Noel".

Debra J. Noel  
Accounting Department

Enclosures



**United States  
Patent and  
Trademark Office**

Return To:  
USPTO  
Home  
Page  
Finance  
Online  
Shopping  
Page

**Deposit Account Statement**

Requested Statement Month: June 2005  
 Deposit Account Number: 150030  
 Name: NORMAN F. OBLON  
 Attention:  
 Address: 1940 DUKE STREET  
 City: ALEXANDRIA  
 State: VA  
 Zip: 22314  
 Country: UNITED STATES OF AMERICA

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
06/01	4	10765849	248347US3	1806	\$180.00	\$19,767.71
06/01	7	11087694	267675US2CONT	1201	\$200.00	\$19,567.71
06/01	8	11087694	267675US2CONT	1202	\$300.00	\$19,267.71
06/01	31	10221677	228202US0X PCT	1201	-\$200.00	\$19,467.71
06/01	33	11125174	270416US2X	1201	\$200.00	\$19,267.71
06/01	42	11124290	270703US8X CONT	1202	-\$50.00	\$19,317.71
06/02	1	6701301	268634AU/TEP/FF	8013	\$25.00	\$19,292.71
06/02	2	09660304	SONY-U0136	1811	\$100.00	\$19,192.71
06/02	9	09709045	LEN102	2251	-\$60.00	\$19,252.71
06/02	36	6701301	268634AU/TEP/FF	8013	\$25.00	\$19,227.71
06/02	149	60574884	253813US/KQU	8007	\$60.00	\$19,167.71
06/02	186	11140275	273234US0X CONT	1203	\$360.00	\$18,807.71
06/03	1	10514728	259655US3PCT	1463	\$200.00	\$18,607.71
06/03	2	10207167	226339US2SRD	1806	\$180.00	\$18,427.71
06/03	3	10201315	225893US2SCO	1201	\$200.00	\$18,227.71
06/03	5	10428109	236842US-6 DIV	1811	\$100.00	\$18,127.71
06/03	152	10536302	272908USOPCT	1631	-\$300.00	\$18,427.71
06/03	153	10536302	272908USOPCT	1642	-\$400.00	\$18,827.71
06/03	154	10536302	272908USOPCT	1633	-\$200.00	\$19,027.71
06/03	155	10536302	272908USOPCT	1615	-\$1,750.00	\$20,777.71
06/03	156	10536302	272908USOPCT	1614	-\$800.00	\$21,577.71
06/03	157	10536302	272908USOPCT	1616	-\$360.00	\$21,937.71
06/06	1	10606750	239522US0	1251	\$120.00	\$21,817.71
06/06	2	09655352	197161US2S-T	1202	\$90.00	\$21,727.71
06/07	1	10204444	227706USXPCT	1251	\$120.00	\$21,607.71
06/07	509	60598539	256922US/HQU	8007	\$20.00	\$21,587.71
06/07	515	60600357	257332US/KQU	8007	\$20.00	\$21,567.71

06/07 518	60601129	257462US/KQU	8007	\$20.00	\$21,547.71
06/07 519	60598051	256863US/KQU	8007	\$20.00	\$21,527.71
06/07 524	60586720	255817US/KQU	8007	\$20.00	\$21,507.71
06/07 527	60591865	256517US/KQU	8007	\$20.00	\$21,487.71
06/07 529	10911543	254530US/KQU	8007	\$20.00	\$21,467.71
06/07 530	60598052	256890US/KQU	8007	\$20.00	\$21,447.71
06/07 571	60614394	259734US/KQU	8007	\$20.00	\$21,427.71
06/08 1	10515062	261979US2PCT	1615	\$90.00	\$21,337.71
06/08 1	09585352	192412US2	1251	\$110.00	\$21,227.71
06/08 20	60557396	251326US/KQU	8007	\$60.00	\$21,167.71
06/08 27	10879584	255175US3	1251	-\$120.00	\$21,287.71
06/08 28	10069983	220759US0PCT	1251	-\$120.00	\$21,407.71
06/08 29	10169081	223018US3XPC	2202	-\$18.00	\$21,425.71
06/08 35	10114056	221058US0	1806	-\$180.00	\$21,605.71
06/08 36	09827097	205447US2	1252	-\$330.00	\$21,935.71
06/08 41	10058319	501.35286614	1053	\$130.00	\$21,805.71
06/08 54	10077794	219764US0CON	1202	-\$100.00	\$21,905.71
06/08 196	11144833	273089US0X CONT	1201	\$200.00	\$21,705.71
06/09 22	10507696	259259US0PCT	1614	\$344.00	\$21,361.71
06/09 36	10282039	229483US-2	1806	-\$180.00	\$21,541.71
06/09 37	10350017	220884US2RE	1806	-\$180.00	\$21,721.71
06/09 38	10350017	220884US2RE	1806	-\$180.00	\$21,901.71
06/09 39	10712009	244215US41CONT	1202	-\$150.00	\$22,051.71
06/09 40	10363317	234036USOPCT	1251	-\$120.00	\$22,171.71
06/09 112	11008150	262111US8	1463	\$70.00	\$22,101.71
06/09 176	11045087	264919US0XCONT	1251	\$120.00	\$21,981.71
06/09 197	60587856	255788US/KQU	8007	\$20.00	\$21,961.71
06/09 201	10890144	255859US/KQU	8007	\$20.00	\$21,941.71
06/09 234	60433771	246746US/KQU	8007	\$40.00	\$21,901.71
06/09 236	60418184	247167US/KQU	8007	\$40.00	\$21,861.71
06/10 1	10832284	252372US2S DIV	1251	-\$120.00	\$21,981.71
06/10 2	09867540	209249US2SRD	1806	\$180.00	\$21,801.71
06/10 2	10501934	256082US2XPCT	1462	\$400.00	\$21,401.71
06/10 2	10747451	1840-0103006CNT	1201	\$200.00	\$21,201.71
06/10 30	10127571	222408US0	1806	-\$180.00	\$21,381.71
06/10 31	10404083	236186US0CIP	1401	-\$500.00	\$21,881.71
06/13 3	09889141	211141US2PCT	1806	-\$180.00	\$22,061.71
06/13 5	11119911	271769US0	1201	\$150.00	\$21,911.71
06/13 5	10513670	261279US3PCT	1615	\$108.00	\$21,803.71
06/13 12	11016855	263674US0	1053	\$130.00	\$21,673.71
06/13 29	10521790	264390US0PCT	1642	\$400.00	\$21,273.71
06/13 32	10521793	26479US0PCT	1642	\$400.00	\$20,873.71
06/13 35	10522195	264982US0PCT	1642	\$400.00	\$20,473.71
06/13 90	60618190	260373US/KQU	8007	\$20.00	\$20,453.71
06/13 120	10527323	267317US3PCT	1617	\$130.00	\$20,323.71
06/13 121	10527321	367319US3PCT	1617	\$130.00	\$20,193.71
06/14 1	10500147	255063US6PCT	1614	\$86.00	\$20,107.71
06/14 1	11072255	265354US	1806	\$180.00	\$19,927.71

Due Date 01-23-05

OSMM&N File No. 264390US0PCT

By NFO/rkm/FF

Serial No. New U.S. PCT Application based on PCT/EP03/07989

In the matter of the Application of Peter BASSLER et al.

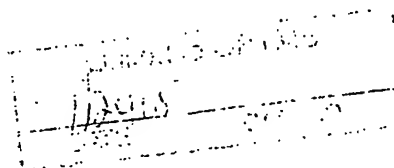
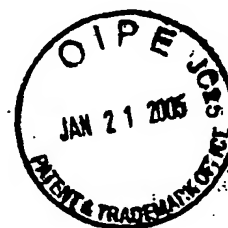
For METHOD FOR THE CONTINUOUS INTERMEDIATE SEPARATION OF AN  
OXIRANE PRODUCED BY THE OXIRANE SYNTHESIS WITH NO  
COUPLING PRODUCT BY MEANS OF A PARTITION-WALL COLUMN

The following has been received in the U.S. Patent Office on the date stamped hereon:

- 25 pgs. Specification 10 Claims (English Translation) pgs. Sequence Listing
- Application Data Sheet
- Notice of Priority
- Dep. Acct. Order Form
- Credit Card Payment Form for \$1,330.00
- Drawings (3 sheets)
- PCT Transmittal Letter
- Preliminary Amendment
- PCT/IB/304
- Information Disclosure Statement
- PTO-1449
- Cited References (14)
- Statement of Relevancy
- International Search Report

SERIAL NO. \_\_\_\_\_

DATE RECEIVED \_\_\_\_\_



**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

264390US0PCT

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.  
PCT/EP03/07989INTERNATIONAL FILING DATE  
22 July 2003PRIORITY DATE CLAIMED  
23 July 2002

## TITLE OF INVENTION

**PROCESS FOR THE CONTINUOUSLY OPERATED INTERMEDIATE ISOLATION OF THE OXIRANE FORMED IN THE  
COPRODUCT-FREE OXIRANE SYNTHESIS BY MEANS OF A DIVIDING WALL COLUMN**

## APPLICANT(S) FOR DO/EO/US

**BASSLER Peter et al.**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

## Items 13 to 23 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☐ Express Mail Label No.
23. ☒ Other items or information:

Application Data Sheet/Notice of Priority/Drawings (3 sheets)  
Statement of Relevancy/Cited References (14)/PTO-1449/PCT/IB/304

U.S. APPLICATION NO (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. <b>PCT/EP03/07989</b>		ATTORNEY'S DOCKET NUMBER <b>264390US0PCT</b>	
24. The following fees are submitted:				Applicant use	Office use
<input checked="" type="checkbox"/> a) Basic national fee ..... \$300.00				\$ 300.00	
<input checked="" type="checkbox"/> b) Examination fee ..... \$200.00				\$ 200.00	
<input checked="" type="checkbox"/> c) Search fee ..... \$500.00				\$ 500.00	
<b>TOTAL OF ABOVE CALCULATIONS = \$1000.00</b>				\$ 1,000.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)	RATE		
- 100 =	/50 =		x \$250.00	\$ 0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	20 - 20 =	0	x \$50.00	\$ 0.00	
Independent claims	4 - 3 =	1	x \$200.00	\$ 200.00	
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00				\$ 0.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 1,330.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 0.00	
<b>SUBTOTAL =</b>				\$ 1,330.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 0.00	
<b>TOTAL NATIONAL FEE =</b>				\$ 1,330.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +				\$ 0.00	
<b>TOTAL FEES ENCLOSED =</b>				\$ 1,330.00	
Amount to be refunded:					\$
Amount to be charged:					\$
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is _____ c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>15-0030</u> . A duplicate copy of this sheet is enclosed. d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
<b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO: 22850					
				SIGNATURE	
				Norman F. Oblon	
				NAME	
				24,618	
				REGISTRATION NUMBER	

**United States Patent & Trademark Office**  
**Credit Card Payment Form**

<b>Credit Card Information</b>	
<b>Credit Card Type:</b> <input checked="" type="checkbox"/> American Express	
Credit Card Number: [REDACTED]	
Credit Card Expiration Date: December 31, 2005	
Name as it Appears on Credit Card: Marshall, Ronikka K.	
Payment Amount: \$(US Dollars): 1,330.00	
Signature:	Date: January 21, 2005
<b>Credit Card Billing Address</b>	
Street Address 1: Oblon, Spivak, McClelland, Maier & Neustadt, P.C.	
Street Address 2: 1940 Duke Street	
City: Alexandria	
State: Virginia	Zip/Postal Code: 22314
Country: U.S.A.	
Daytime Phone #: (703) 413-3000 Accounting Dept.	Fax #: (703) 413-2220
<b>Request and Payment Information</b>	
Description of Request and Payment Information: new us pct application	
Application No.:	
Patent/Registration No.:	
Docket No.: 264390US	

*If the cardholder includes a credit card number on any form or document other than the Credit Card Payment Form, the United States Patent & Trademark Office will not be liable in the event that the credit card number becomes public knowledge.*

Form #: 27935